Attachment A: Request for Proposal

The Government Resource Center (GRC) is requesting a proposal to serve as survey vendor for the Ohio Medicaid Community Engagement Evaluation longitudinal survey series (2021-2025).

RELEASED BY: The Ohio Colleges of Medicine Government Resource Center (GRC)
RFP ISSUED: Friday, September 4, 2020
INQUIRY PERIOD BEGINS: Friday, September 4, 2020
INQUIRY PERIOD ENDS: Friday, October 2, 2020
PROPOSAL DUE DATE/BID CLOSING DATE: Friday, October 9, 2020 at 4 PM EST

PROPOSALS RECEIVED AFTER THE DUE DATE AND TIME AND/OR WITHOUT COMPLETE APPLICATION, WILL NOT BE EVALUATED.

RFP Contact:

Joe Hutchinson - Supervisor, Grants Compliance 614-688-3217 hutchinson.106@osu.edu

RFP Web Locations: https://osp.osu.edu/administration/procurement/bid-opportunities/
http://grc.osu.edu/funding/current

Offerors must note that all proposals and other material submitted will become the property of the GRC and may be returned only at the GRC's option. Proprietary information should not be included in a proposal or supporting materials because the GRC will have the right to use any materials or ideas submitted.

Submission Instructions: Proposals should be submitted electronically in a searchable pdf format to Joe Hutchinson at hutchinson.106@osu.edu; submission must be received by Proposal Due Date.

To maintain a fair and impartial competitive selection process, all Ohio State University faculty, staff and students will avoid private communication with prospective proposers. Please respect this policy and do not contact anyone about this RFP except as provided herein. Unauthorized contact regarding the RFP with University faculty, staff or students may result in disqualification of the proposer. Any oral communication will be considered unofficial and non-binding, and will not change or alter this RFP. Vendors should rely only on written statements issued by Joe Hutchinson at The Ohio State University Office of Sponsored Programs.
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Introduction

The Government Resource Center (GRC) is soliciting competitive proposals (Proposals) for activities relating to the Ohio Medicaid Community Engagement Evaluation (OMCEE) longitudinal survey series (2021-2025) (the Work). If a suitable offer is made in response to this RFP, OSU may enter into a contract (the Contract) to have the selected Bidder (the Contractor) perform the Work. This RFP provides details on what is required to submit a Proposal for the Work, how OSU will evaluate the Proposals, and what will be required of the Contractor in performing the Work.

This RFP also gives the estimated dates for the various events in the submission process, selection process, and performance of the Work. While these dates are subject to change, prospective Bidders must be prepared to meet them as they currently stand.

The Ohio Medicaid Community Engagement Evaluation (OMCEE) is a longitudinal series of surveys required by law to evaluate the effects of the 1115 Medicaid Community Engagement Waiver over the course of several years (2021-2025). These surveys are administered on behalf of the Ohio Department of Medicaid (ODM) by the Ohio Colleges of Medicine Government Resource Center (GRC) for the purposes of meeting the evaluation requirement, informing health policy, and assisting the efficient and effective implementation of the Medicaid program.

As survey vendor, each Bidder will need to demonstrate an understanding of the nuances for reaching different study populations and detail previous experiences fielding large-scale (sample > 10,000) studies and studies of difficult-to-reach populations.

Given that this Request for Proposal is to collect data for the second through sixth iterations of the OMCEE, the first iteration of the OMCEE should guide the Bidder and proposed designs should take into account the need for consistency with 2020 OMCEE data. To assist this process, 2020 OMCEE methodology documents are available and are posted on the GRC website for reference.

Given the complexity of the OMCEE project, Bidders must have extensive expertise in survey-related statistical methods including study design, imputation of missing data, survey weight construction for both cross-sectional and longitudinal samples. Bidders must also hold expertise in multimode data collection including computer-assisted web interviews (CAWI) and computer-assisted telephone interviews (CATI).

Serving as the OMCEE survey research vendor involves close communication with the Ohio Department of Medicaid and other partners of interest (e.g., academic institutions). As such, the Bidder should demonstrate successful collaborations with staff of government agencies and university faculty.

Please see the Proposal Requirements section for detailed instructions as well as a checklist of required proposal components.

Inquiries concerning the proposal content and intent and general questions should be sent by email to Joe Hutchinson at hutchinson.106@osu.edu. Answers to inquiries will be posted at http://grc.osu.edu/funding/QA within two business days. The Inquiry Period for this proposal ends Friday, October 2, 2020.
To assist the proposal response process, select Finding Reports and Methodology Reports have been posted on the GRC website’s RFP section at [http://grc.osu.edu/funding/current](http://grc.osu.edu/funding/current).

Any failure to meet a deadline in the submission or any objection to the dates for performance in the Work phase may result in OSU’s refusal to consider the Proposal of the Bidder.

OSU will not be liable for any costs incurred by any Bidder in response to this RFP, even if OSU does not award a Contract through this process. OSU may decide to not award a Contract for any or all of the Work defined by this RFP or subsequent OSU amendments. It may also cancel this RFP and contract for the Work through some other process or by issuing another RFP. An award is contingent upon sponsor funding for the OMCEE Series.
Proposal Requirements

Formatting Requirements

Responses must address all aspects of this Request for Proposal and should follow the chronology of the RFP. Proposals must be single spaced, 11-pt Calibri font with 1-inch margins. The response, including appendices, shall be submitted as a single searchable PDF file. Applications submitted that include multiple attachments will be disqualified.

Failure to conform to any of the requirements listed in this RFP may result in disqualification of the submitted response.

RFP Response Requirement Checklist

The following conditions must be met in order for the RFP response to be considered responsive:

- Formatted in 11-pt Calibri font, single spaced, with 1-inch margins
- Formatted as a single, searchable PDF file (including all appendices)
- Filled and sign RFP cover page (PR-001 form) and attachments
- Includes all required materials in the following order:
  - Cover Letter
  - Project Proposal Narrative
  - Budgets and Budget Narratives
  - Staff Biosketches/Credentials (NIH Biosketch format)

- Submitted by Response Due Date/Time: October 9, 2020 at 4 PM

Further explanation related to the proposal sections is below.

Cover Letter

The cover letter must be in the form of a standard business letter and must be signed by an individual authorized to legally bind the Bidder. The cover letter will provide an executive summary of the Bidder’s request for funds. The letter must also include:

1. A statement regarding the Bidder’s company, organization, university or college, including Federal tax identification number and address;
2. A list of the people who prepared the application, including titles;
3. The name, phone number, and email address of a contact person who has authority to answer questions regarding the application;
4. The name, phone number, and email address of the Sponsored Programs Officer or other Bidding Firm officer responsible for the application;
5. The name, phone number, and email address of the Principal Investigator or Project
Manager for this project;

6. A list of all co-Bidders, if any, that the Bidder will use on the work if selected—no co-submitting Bidder may be included after proposal submission; and

7. Note that the Bidder(s) may not subcontract activities detailed in their submission.

Project Proposal Narrative

Content and format requirements:

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<th>Section</th>
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<tr>
<td>A. Detailed quantitative fielding plan:</td>
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<tr>
<td>Ohio Medicaid Community Engagement Evaluation; Please address all items included in the OMCEE project summary section.</td>
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<td>B. Survey fielding expertise and experience:</td>
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<td>Bidder’s expertise and experience fielding similar surveys. In particular, applicants should describe experiences with: (1) large-scale surveys (a sample of at least 10,000 completed responses); (2) multi-mode surveys; (3) surveys of difficult-to-reach and vulnerable populations; and (4) complex sample designs (e.g., cell phone sampling factoring for pay-as-you-go cell phones and contract cell phones).</td>
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<td>C. Statistical methods expertise and experience:</td>
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<td>Bidder’s demonstrated expertise and experience with survey-related statistical methods, including: (1) study/sampling design; (2) imputation of missing data; (3) survey weights construction for both cross-sectional and longitudinal samples; and (4) small area estimation techniques.</td>
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<td><strong>D. Organizational capacity:</strong></td>
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<td>Bidder’s demonstrated organizational capacity (e.g. staffing, technology, etc.) to undertake the work, referencing specific projects in the past. Bidder Profile: Each proposal must include a profile of the Bidder’s experience working on similar projects. The profile must also include the Bidder’s address, telephone number, and any other background information that will help the evaluation committee gauge the ability of the Bidder to fulfill the obligations of the contract. Equipment and Software Requirements: The Bidder must provide an assurance that they have the hardware, software, and technology staff support capacity capable of performing the tasks proposed in the application -- this description should detail the Bidder’s call center capacity.</td>
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<td><strong>E. Partnership experience:</strong></td>
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<td>Evidence of Bidder’s experience working with academic medical centers, academic institutions, and government/state agencies.</td>
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<td><strong>F. Key personnel:</strong></td>
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<td>Brief description of key personnel that will participate in the project. Please note that a change of key staffing must be requested at least 2 weeks prior to the planned staffing changes and must be approved by GRC prior to implementation. Please include an NIH biosketch for the Principal Investigator, senior methodologist, call center lead, senior CATI/CAWI coder, data manager, and project manager in the Staff Biosketches/Credentials section. For any additional key personnel listed in section I, please include staff credentials.</td>
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Budgets and Budget Narratives

Please complete a separate budget form and budget narrative, segmenting by the state fiscal year (SFY), as detailed in the checklist below. The SFY for Ohio starts on July 1st and ends on June 30th of the following calendar year.

Budgets and Budget Narratives Section Checklist:

- Budget Forms for SFY 2021: January 1, 2021 - June 30, 2021
  - OMCEE Budget Form
  - OMCEE Budget Narrative
- Budget Forms for SFY 2022: July 1, 2021 - June 30, 2022
  - OMCEE Budget Form
  - OMCEE Budget Narrative
- Budget Forms for SFY 2023: July 1, 2022 - June 30, 2023
  - OMCEE Budget Form
  - OMCEE Budget Narrative
- Budget Forms for SFY 2024: July 1, 2023 - June 30, 2024
  - OMCEE Budget Form
  - OMCEE Budget Narrative
- Budget Forms for SFY 2025: July 1, 2024 - June 30, 2025
  - OMCEE Budget Form
  - OMCEE Budget Narrative

Project Funding Period:

- OMCEE: January 1, 2021 - June 30, 2025

Instructions:

In this section, the Bidder must provide a detailed budget breakdown for the OMCEE per state fiscal year (SFY) using the budget form which is available electronically at [http://grc.osu.edu/funding/current](http://grc.osu.edu/funding/current). The Bidder must provide an accompanying budget narrative, detailing specific direct and indirect costs associated with the application. Please note that:
a. The sponsor limits overhead reimbursement (indirect costs) to 10% of total project costs;
b. The study budgets must cover the duration of each subproject independently;
c. Proposals exceeding $1.5 million for each SFY will not be considered;
d. The OMCEE design for SFY 2020 targets 14,000 completed interviews – 8,000 completes for the Affordable Care Act Medicaid expansion enrollees who are eligible for the Medicaid 1115 Community Engagement Waiver, and 6,000 Affordable Care Act Medicaid expansion enrollees who are ineligible for the 1115 Community Engagement Waiver;
e. Sample frames will be provided by GRC; and
f. Qualitative interview recruitment from OMCEE participants will be required.

For information relating to the OMCEE research design, please access http://grc.osu.edu/funding/current.

NOTE: There is NO ability to carryover unspent funds beyond the project designated state fiscal year(s) or beyond project close dates, so selected Bidders must be able to spend allotted dollars during the allotted State Fiscal Year timeframes.

Funding requests should include:

A. Direct costs related to:
   i. Survey development;
   ii. Survey programming and testing;
   iii. Pilot testing;
   iv. Data collection (including participant incentives);
   v. Data editing;
   vi. Reports and deliverables;
   vii. Qualitative interview recruitment;
   viii. Technical assistance (e.g., research requests from OSU); and
   ix. Staff training.

B. Indirect costs/overhead cannot exceed 10% of total project costs.

Staff Biosketches/Credentials

[NIH biosketch] for:
- Principal investigator,
- Senior methodologist,
- Call center lead,
- Senior CATI/CAWI coder,
- Data manager, and
- Project manager.

For any additional key personnel listed in Section I of the Project Proposal Narrative, please list...
staff credentials.
OMCEE Project Summary

OMCEE Project Overview

In partnership with the Ohio Department of Medicaid (ODM) and the Medicaid Technical Assistance and Policy Program (MEDTAPP), the Ohio Colleges of Medicine Government Resource Center (GRC) is seeking a proposal related to the Ohio Medicaid Community Engagement Evaluation (OMCEE). The OMCEE will be a mixed methods study including quantitative (survey and Medicaid records analyses—note that Medicaid records analyses are not included in this RPF) and qualitative data collection that will serve as the independent and ongoing evaluation of Ohio’s 1115 Medicaid Community Engagement Waiver. The OMCEE will examine the relationships between the implementation of the Medicaid Community Engagement Waiver and select health, health-related, economic outcomes such as health status, employment, financial independence, family and housing stability, and access to health care.

OMCEE Project Scope of Work

The study will incorporate Medicaid administrative data, Wave 1 OMCEE survey data, and qualitative interview data. As part of the study, the funded entity will do the following for each of the 2021 through 2025 iterations of the longitudinal evaluation:

- Attend weekly meetings with GRC and ODM staff to discuss progress.
- Attend monthly OMCEE Executive Committee meetings.
- Assist with finalizing the survey instrument.
- Assist with finalizing the study design, including but not limited to the sampling plan, strategies of weight construction for multiple sampling components, and the mode(s) of data collection for the survey.
- Field the survey, which will be mixed-mode and will include telephone and web components. The sample frame will contain an estimated 600,000 - 650,000 individuals and the evaluation will require approximately 14,000 completed quantitative interviews per iteration – segmented by 8,000 interviews of Affordable Care Act Medicaid expansion enrollees who are required to participate in the 1115 Medicaid Community Engagement Waiver and 6,000 interviews of Affordable Care Act Medicaid expansion enrollees who are not required to participate in the 1115 Medicaid Community Engagement Waiver.
- Process and analyze collected survey data, including but not limited to tasks such as imputation of missing data and coding of open-ended responses.
- Collaborate with GRC on recruitment for qualitative interviews, including the recruitment of survey respondents.
- Provide a detailed Ohio Medicaid Community Engagement Evaluation Methodology Report that details sampling design, details of fielding including but not limited to the length of interviews,
breakdowns of response rates by geographic and demographic characteristics, cooperation rates, and treatment of missing data.

- Provide text editing and narrative assistance with the OMCEE Annual Report (which will be approximately 50 pages) and the Ohio Medicaid Community Engagement Evaluation Methodology Report (which will be approximately 110 pages including appendices).

**OMCEE Methods Considerations**

See prior methodology reports at: [http://grc.osu.edu/funding/current](http://grc.osu.edu/funding/current).

The following methods considerations should be addressed and referenced in the application:

- **Sampling**
  - Using Medicaid administrative data to construct sample frames, records will be provided by GRC
  - Complex sampling designs
  - Defend proposed sampling design in detail, referencing possible alternatives

- **Questionnaire**
  - Describe CATI/CAWI programming, validation procedures, and pilot test

- **Data collection**
  - Call center practices, including staff training, respondent contact protocols, and quality assurance
  - Interview monitoring -- internal by staff and through remote access by GRC (select sessions)

- **Data processing**
  - Describe imputation techniques and defend chosen imputation strategy, compared to alternatives
  - Describe weighting techniques and defend chosen weighting strategy, compared to alternatives
  - Describe estimation techniques
  - Describe protocols for producing restricted use files
  - Describe data protection techniques and policies
  - Describe protocol for coding of open-ended responses

**OMCEE Project Deliverables**

Project Deliverables for Period Signature of Contract or January 1, 2021 through June 30, 2025:

- Regular attendance in meetings with ODM and GRC staff and the OMCEE Executive Committee to discuss progress (throughout the project duration);
- Collaboration with ODM and GRC to finalize the survey instrument, sampling plan, and maximize response rates (throughout developmental process);
• Fielding 5 iterations of the OMCEE survey (approximately 14,000 completed interviews per iteration);

• Interim databases with specificity documentation at the completion of 25%, 50%, and 100% of interviews;

• Provide weekly fielding reports containing counts of interviews per landline phone, interviews per cell phones, interviews per web, minority completes, unknown minority status, record attempts, average number of attempts, eligible respondents reached, refusals, cooperation rate (unweighted by lower and upper bounds), RR total/landline/cell/web (unweighted);

• Final processed survey data, including coded open-ended responses, survey weights, imputed values for cases with missing data, and data labels;

• Codebook describing the final processed survey dataset;

• Methodology document detailing the survey methodology, including but not limited to details about study design, fielding protocols, response patterns, the creation of survey weights, imputation methods;

• A copyedited version of the OMCEE Methodology Report and other OMCEE project reports;

• Submit monthly invoices;

• Submit monthly staff time reports; and

• Submit quarterly and/or monthly progress reports and a final progress report.
Appendices
Appendix A: Legal Proposal and Contract Requirements

SECTION I: Definitions

The following definitions are relative to this Request for Proposal (hereinafter referred to as RFP), and any addenda incorporated hereafter:

Addendum(a): Written instruments, issued solely by, that detail amendments, changes or clarifications to the specifications and terms and conditions of this RFP. Such written instruments shall be the sole method employed by the University to amend, change, or clarify this RFP and any claims (from whatever source) that verbal amendments, changes or clarifications have been made shall be summarily rejected by the University.

Agreement or Contract: Award resulting from the RFP.

ARO: After receipt of order.

Bid Closing Date: The date and time specified in this RFP by which the Proposal must be received by the University’s Purchasing Department in accordance with Section II, Item 1 of this RFP. Proposals received after such date and time will not be considered.

Bidder: Respondent to the RFP.

ET: Eastern Standard Time

GRC: Ohio Colleges of Medicine Government Resource Center

May, Should: Indicates something that is requested but not mandatory. If the Bidder fails to provide requested information, the University may, at its sole option, either request that the Bidder provide the information or evaluate the proposal without the information.

OSU, University, Purchaser: The Ohio State University.

Proposal: Response provided by Supplier or Bidder.

RFP: Request for Proposal.

Shall, Must, Will: Indicates a mandatory requirement - failure to meet mandatory requirements will invalidate the bid response, or result in rejection of a proposal as non-responsive.
SECTION II: RFP Terms and Conditions

The Government Resource Center (GRC) reserves the right to:

- Reject any or all Proposals received in response to this RFP;
- Request clarification from any Bidder on any or all aspects of its proposal;
- Cancel and/or reissue this RFP at any time;
- Retain all Proposals submitted in response to this RFP; and,
- Invite some, all, or none of the Bidders for interviews and further discussion.

Provisions: If any provisions in a resultant agreement are held to be invalid, void, or unenforceable, the remaining provisions shall continue in full force and effect without being impaired or invalidated in any way.

Ethical Conduct: It is expected that once a purchase order or contract is issued, vendors not receiving an award will not undertake any actions that might interfere with, or be detrimental to, the contractual obligations of the University. The University reserves the right to take any and all actions deemed appropriate in response to unethical conduct by a vendor. Such actions include, but are not limited to, establishing guidelines for campus visits by a vendor, and/or removal of a vendor from the University’s bidders’ list(s).

Apart from a contact required for any on-going business at the University, vendors are specifically prohibited from contacting any individual at or associated with the University regarding this RFP. Vendor communication shall be limited to the Purchasing Department contact named on the cover page of this document. A vendor’s failure to adhere to this prohibition may, at the University’s sole discretion, disqualify the vendor’s Proposal.

Cancellation for Lack of Funding: A resultant agreement may be canceled without any further obligation on the part of the GRC in the event that sufficient appropriated funding is unavailable to assure full performance of its terms. The vendor shall be notified in writing of such non-appropriation at the earliest opportunity.

Termination of Agreement: Consistent failure by the vendor to meet the terms and conditions of a resultant agreement deemed by the University, in its sole discretion, to be a material breach of the agreement, including, but not limited to delivery, required service-levels, quality, and invoice inaccuracies, will constitute a default of the agreement by the vendor. In the event that said default continues for a period of thirty (30) days after the vendor receipt of notice-of-default from the University, the University reserves the right to immediately terminate the agreement. Termination shall in no way limit the University’s right to recover damages that occur as a result of the vendor’s breach. Either party may cancel a resultant agreement after ninety (90) days from the effective date of the agreement by giving the other party thirty (30) days prior written notice of intent.

Quote: Response must be valid for 120 days.

Contract Term: The contract term will commence on January 1, 2021 or receipt of the purchase order, whichever is later, and continue through June 30, 2025. Pricing will remain firm for the initial period. At
the end of the initial period, the contract may be renewed, pending continued project funding from OSU-GRC sponsors for an additional five (5) 1-year periods (at the same terms and conditions), upon the signed mutual agreement between the University and the awarded vendor. The University will review requests for price increases for each of the 5 renewal periods.

The University, at its sole discretion, reserves the right to extend any resultant contract, under the same pricing and terms and conditions, to some or all University areas.

**SECTION III: RFP Schedule and Other Forms**

RFP Schedule of Events: the GRC will make every effort to adhere to the schedule below:

**RELEASED BY:** The Ohio Colleges of Medicine Government Resource Center (GRC)

**RFP ISSUED:** Friday, September 4, 2020

**INQUIRY PERIOD BEGINS:** Friday, September 4, 2020

**INQUIRY PERIOD ENDS:** Friday, October 2, 2020

**PROPOSAL DUE DATE/BID CLOSING DATE:** Friday, October 9, 2020 at 4 PM EST

Due prior to issuance of **purchase order or University contract**:
The following MUST be properly completed by Bidders and MUST be received by the University prior to the issuance of a purchase order or University contract. If not included in the bid-response package, the University reserves the right to request the following from (at its sole discretion) some, all, or none of the respondents. The University also reserves the right to define and impose reasonable deadlines for the receipt of the following; failure to meet such deadlines MAY, at the sole discretion of the University, invalidate the bid response.

- Signed and dated Bidder Signature Page
- DUA (related to Medicaid administrative data)
- Certificate of Insurance, upon request

The University reserves the right to request from (at its sole discretion) some or all of the respondents, any further information or documentation that it deems necessary for the issuance of a purchase order or University contract.

**Multiple or Alternate Proposals**
OSU welcomes multiple Proposals from a single Bidder, but OSU requires each such Proposal to be submitted separately from every other Proposal the Bidder makes. Additionally, the Bidder must treat every Proposal submitted as a separate and distinct submission and include in each Proposal all materials, information, documentation, and other items this RFP requires for a Proposal to be complete and acceptable.

No alternate Proposal may incorporate materials from another Proposal made by the Bidder or refer to another Proposal. OSU will judge each alternate Proposal on its own merits.
Appendix B: Forms

Bidder Signature Page

(Needed for Purchase Order Process - optional)

Any joint proposal must be signed by all bidders (when applicable: see Appendix A, Section II)

**Bidder #1**

By signing this document I am agreeing on behalf of my firm to the specifications of this RFP and accepting, without exception or amendment, The Ohio State University’s “RFP Terms and Conditions” and the “Purchase Order Terms and Conditions.” Any purchase order or University contract issued as a result of this RFP shall be subject to these terms and conditions. Should a Bidder propose exceptions to the Terms and Conditions cited above, the Bidder must submit such proposed exceptions and/or amendments in writing and in its bid response package by the Bid Closing Date/Time. The Ohio State University reserves the right to reject some, all, or none of the proposed exceptions and/or amendments and asserts its Terms and Conditions as described herein.

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Bidder #2
By signing this document I am agreeing on behalf of my firm to the specifications of this RFP and accepting, without exception or amendment the University’s “RFP Terms and Conditions” and the “Purchase Order Terms and Conditions.” Any purchase order or University contract issued as a result of this RFP shall be subject to these terms and conditions. Should a Bidder propose exceptions to the Terms and Conditions cited above, the Bidder must submit such proposed exceptions and/or amendments in writing, and in its bid response package, by the Bid Closing Date/Time. The University reserves the right to reject some, all or none of the proposed exceptions and/or amendments and asserts its Terms and Conditions as described herein.

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Appendix C: Additional Study Details

The Ohio Medicaid Community Engagement Evaluation
The Ohio Medicaid Community Engagement Evaluation will serve as the CMS-required evaluation of Ohio’s 1115 Community Engagement Waiver, which will focus on changes in health status, participation in the workforce, transition to employer-sponsored or other non-Medicaid health insurance coverage, and determinants of health.

Select questions to be answered by the Ohio Medicaid Community Engagement Evaluation include:

❖ **Primary research question 1.1:** Are beneficiaries subject to the requirement more likely than other similar Medicaid beneficiaries not subject to the requirement to be employed (including new and sustained employment), adjusting for population characteristics and time-effects?

❖ **Primary research question 1.2:** Is being subject to the requirement associated with changes in education outcomes (either positive or negative), such as achievement of diplomas and certifications?

❖ **Primary research question 2.1:** Does the requirement increase income?

❖ **Primary research question 3.1:** Does the requirement lead to increased take-up of commercial insurance, including employer-sponsored insurance (ESI) and Marketplace plans?

❖ **Primary research question 3.2:** Is the requirement associated with coverage losses including for enrollees and family members (if people transition off Medicaid and do not enroll in commercial health insurance)?

❖ **Primary research question 3.3:** Is the requirement associated with a reduced rate of application and participation in the Medicaid program among adult Ohioans?

❖ **Primary research question 4.1:** Does the requirement lead to improved health outcomes for beneficiaries subject to the requirement?

❖ **Research Question 5:** What is the distribution of activities beneficiaries engage in to meet the requirement?

❖ **Research Question 6:** What are common barriers to compliance with the requirement?

❖ **Research Question 7:** Do beneficiaries subject to the requirement report that they received supports needed to participate, such as job placement assistance or childcare resources?

❖ **Research Question 8:** Do beneficiaries subject to the requirement understand the requirement, including how to satisfy it and the consequences of noncompliance?
❖ **Research Question 9:** How many beneficiaries are required to actively report their status, including exemptions, good cause circumstances, and qualifying activities?

❖ **Research Question 10:** What is the distribution of reasons for disenrollment among demonstration beneficiaries?

❖ **Research Question 11:** Are beneficiaries who are disenrolled for noncompliance with the requirement more or less likely to re-enroll than beneficiaries who disenroll for other reasons?

❖ **Research Question 12:** Will the 1115 Demonstration change administrative costs per beneficiary?

❖ **Research Question 13:** Will the 1115 Demonstration change costs related to uncompensated care for hospitals?

The data sources for the OMCEE study include:

❖ **Medicaid administrative data** for all *ever enrolled* (Group VIII enrollees) which will enable calculation of measures of enrollment trajectory, outside insurance status, housing status, and healthcare utilization (to be set and analyzed by OSU researchers).

❖ A detailed *quantitative survey* of a target and comparison group of Medicaid enrollees that will include questions about the connections between the implementation of the 1115 Community Engagement Waiver and access to care, health system utilization, physical and mental health, financial hardship, and employment – among other topics (data to be collected by the selected Vendor and analyzed by OSU researchers -- sample pulled from previous OMCEE and Medicaid data).

❖ **Semi-structured qualitative interviews** of select participants of the quantitative survey and of Medicaid program stakeholders. Interviews and focus groups will be designed to obtain more in-depth and personalized information relating to quantitative findings (qualitative data collection and analyses to be performed by OSU researchers).

Please note that OSU contracts with an academic OMCEE research team to work with GRC staff in preparing a “draft” survey, including required sampling frame requirements and sampling procedures, a draft survey questionnaire for the OMCEE, and qualitative questions. Since the sampling design work is under OSU’s direction, we believe that the scope-of-work discussion concerning sampling is roughly sufficient for vendor needs and Proposal development, although as noted elsewhere, a mechanism is provided for vendors to ask questions and receive answers prior to the Proposal deadline. Note that: (1) Medicaid administrative data analyses will be performed by OSU researchers; (2) OMCEE survey analyses will be performed by OSU researchers; and (3) qualitative data analyses will be performed by OSU researchers. The selected Vendor will be asked to consult and assist with data issues relating to OMCEE survey analyses.
Appendix D: Evaluation of Proposals

Evaluation of Proposals, Generally. The evaluation process may consist of up to three distinct phases:

1. The Procurement Representative’s Initial Review of all Proposals for Defects;
2. The Evaluation Committee’s Evaluation of the Proposals; and
3. Request for More Information (Interviews, Presentations, and/or Demonstrations).

Proposal Evaluation Criteria. In the Proposal evaluation phase, the Evaluation Committee will rate the Proposals submitted in response to this RFP based on the following criteria and weight assigned to each criterion, to a total of 1,000.

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**Interviews, Demonstrations, and Presentations.** The Proposal Evaluation Committee may require some Bidders to interview with the committee, make a presentation about their Proposal, and/or demonstrate their products or services. Such presentations, demonstrations, and interviews provide a Bidder with an opportunity to clarify its Proposals and to ensure a mutual understanding of the Proposal’s content. The presentations, demonstrations, and interviews will be scheduled at the convenience and discretion of the evaluation committee. The evaluation committee may record any presentations, demonstrations, and interviews.