COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1316025986A1

DATE:09/23/2016

ORGANIZATION:

FILING REF.: The preceding

agreement was dated

Ohio State University

Ohio State University Research Foundation 04/27/2015

901 Woody Hayes Drive 2020 Blankenship Hall Columbus, OH 43210-4016

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: INDIRECT COST RATES							
RATE TYPES:	FIXED	FINAL PRO	V. (PROVISIONAL) PRED.	(PREDETERMINED)			
EFFECTIVE PERIOD							
TYPE	FROM	TO	RATE(%) LOCATION	APPLICABLE TO			
PRED.	07/01/2016	06/30/2017	54.00 On Campus	Organized Research			
PRED.	07/01/2017	06/30/2018	55.00 On Campus	Organized Research			
PRED.	07/01/2018	06/30/2020	56.00 On Campus	Organized Research			
PRED.	07/01/2016	06/30/2020	52.00 On Campus	Instruction			
PRED.	07/01/2016	06/30/2020	30.50 On Campus	Other Sponsored Activities			
PRED.	07/01/2016	06/30/2020	26.00 Off Campus	All Programs except OSA			
PRED.	07/01/2016	06/30/2020	24.00 Off Campus	Other Sponsored Activities			
PROV.	07/01/2020	Until Amended		Use same rates and conditions as those cited for fiscal year ending June 30, 2020.			

ORGANIZATION: Ohio State University Ohio State University

Research Foundation

AGREEMENT DATE: 9/23/2016

*BASE

Modified total direct costs, consisting of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.

ORGANIZATION: Ohio State University Ohio State University

Research Foundation

AGREEMENT DATE: 9/23/2016

SECTION I: FRINGE BENEFIT RATES**						
TYPE	FROM	TO	RATE(%) LOCATION	APPLICABLE TO		
FIXED	7/1/2015	<u></u> 6/30/2016	29.10 All	Faculty		
FIXED	7/1/2015	6/30/2016	37.30 All	Admin & Professional		
FIXED	7/1/2015	6/30/2016	52.30 All	Classified Civil Service		
FIXED	7/1/2015	6/30/2016	16.30 All	Non-Student Special		
FIXED	7/1/2015	6/30/2016	11.40 All	Students		
FIXED	7/1/2016	6/30/2017	23.00 All	Faculty		
FIXED	7/1/2016	6/30/2017	35.40 All	Admin & Professional		
FIXED	7/1/2016	6/30/2017	46.10 All	Classified Civil Service		
FIXED	7/1/2016	6/30/2017	12.00 All	Non-Student Special		
FIXED	7/1/2016	6/30/2017	10.20 All	Students		
PROV.	7/1/2017	6/30/2019		Use same rates and conditions as those cited for fiscal year ending June 30, 2017.		

^{**} DESCRIPTION OF FRINGE BENEFITS RATE BASE:

Salaries and wages.

The fringe benefit rates are calculated using the sponsored program salary and wage totals instead of the University salary and wage totals.

ORGANIZATION: Ohio State University Ohio State University

Research Foundation

AGREEMENT DATE: 9/23/2016

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

OFF-CAMPUS DEFINITION: For all activities performed in facilities not owned by the institution and to which rent is directly allocated to the project(s) the off-campus rate will apply. Grants or contracts will not be subject to more than one F&A cost rate. If more than 50% of a project is performed offcampus, the off-campus rate will apply to the entire project.

Equipment Definition Equipment means an article of nonexpendable, tangible personal property
having a useful life of more than one year and an acquisition cost of \$5,000
or more per
unit.

FRINGE BENEFITS:

Retirement Disability Insurance
Worker's Compensation Life Insurance
Health Insurance Dental Insurance
Medicare Employee Vision
Terminating Employees Vacation & Sick Leave

The next fringe benefit proposal based on actual costs the Fiscal year ending June 30, 2016 is due in our office by December 31, 2016. Your next facilities and administrative cost proposal based on actual costs for the fiscal year ending June 30, 2019 is due in our office by December 31, 2019.

ORGANIZATION: Ohio State University Ohio State University Research Foundation AGREEMENT DATE: 9/23/2016 SECTION III: GENERAL The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the contract or other agreement only to the organization were included in its facilities and administrative cost following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct principles; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the rederal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government. B. ACCOUNTING CHANGES: This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances. If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs. USE BY OTHER FEDERAL AGENCIES: The rates in this Agreement were approved in accordance with the authority in Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200), and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement. If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs. ON BEHALF OF THE FEDERAL GOVERNMENT: BY THE INSTITUTION: Ohio State University Ohio State University Research Foundation DEPARTMENT OF HEALTH AND HUMAN SERVICES Digitally signed by Arif M. Karim -A DN: c=US, o=U.S. Government, ou=HHS, (INSTITUTION) - S Chata Arif M. Karim -A 01=PSC, 01=People, cn=Arif M. Karim -A 0.9-2342.19200300.100.1,1=2000212895 Date: 2016.09.28 08:26:12 -05'00' Arif Karim Geoffrey S. Chatas (NAME) Senior Vice President for

Business and Finance & CFO

10-10.16

Director, Cost Allocation Services (TITLE) 9/23/2016 (DATE) 5111 HHS REPRESENTATIVE: Theodore Foster

(214) 767-3261

Telephone: